

CHAPTER 12. Cognitive Radio Standards IEEE 802.22, IEEE 1900, IEEE 802.19









FCC Spectrum Regulations Cognitive Wireless Standards IEEE and IETF Future Cognitive Radio Standard Challenges







FCC Spectrum Regulation Models

Command and Control

- National Regulatory Authority (NRA) determines the usage details of the spectrum

Market Mechanism

- E.g. Spectrum Auction
- Licensees choose the deployed technology

Commons Model

- No exclusive usage rights (shared)
- Access is regulated by
 - A general license
 - Type of services and technologies permitted
 - "Good neighborhood" rules (such as output power restrictions, protocol rules for collision avoidance, etc.)

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FCC Spectrum Regulation Models Based on Cognitive Radio

Opportunistic Spectrum Access

- Gain access to a spectrum band that is currently used by some licensed incumbent systems on a condition that the new systems would not interfere with the incumbent systems
- E.g. White Spaces in TV bands

Spectrum Pooling

- Licensed (PUs) put their unused spectrum into a pool from which SUs can lease spectrum





FCC Regulation on TV Spectrum

FCC, "Second Report and Order and Memorandum Opinion and Order," ET Docket No. 08-260, Nov. 14, 2008.

FCC opened a portion of TV spectrum for unlicensed access

Two types of unlicensed devices are allowed

Fixed: Maximum Transmission Power 4 W

Mobile: Maximum Transmission Power 100 mW (non-adjacent channels) / 40 mV (adjacent channels)





FCC Decision on TVWS

FCC, "Second Memorandum Opinion and Order," ET Docket No. 10–174, Sept. 23, 2010.

On September 23, 2010, the FCC released a Memorandum Opinion and Order (MO&O) that determined the final rules for using the white space for unlicensed wireless devices

■ New rules removed mandatory sensing requirements → PU protection by geolocation-based database

Final rules adopt a proposal from the White Spaces Coalition for very strict emission rules that prevent the direct use of other TV band device (TVBD)



FCC Regulations

Protection criteria for incumbent services

- Fixed and Mode II personal/portable devices operating with power levels greater than 40 mW
- must operate outside the protected contours of both co-channel and adjacent channel TV stations at a sufficient separation distance
 - At least three continuous TVWS bands should be available
- Personal/portable device operating with power levels of 40mW or less

are permitted to operate within the protected contours of adjacent channel TV stations due to lower risk of causing harmful interference at that power level

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IEEE and **IETF** Spectrum Sharing Standardization Activities

Title

IEEE 802.11 (Wireless Local Area NWs)

IEEE 802.15.4m (Wireless Personal Area NWs)

IEEE 802.19.1 (Coexistence)

IEEE 802.22 (Wireless Regional Area NWs and Enabling Technologies)

IETF Protocol to Access White Spaces

P1900 Stand. Activity (Dynamic Spectrum Access NWs)

Contributor

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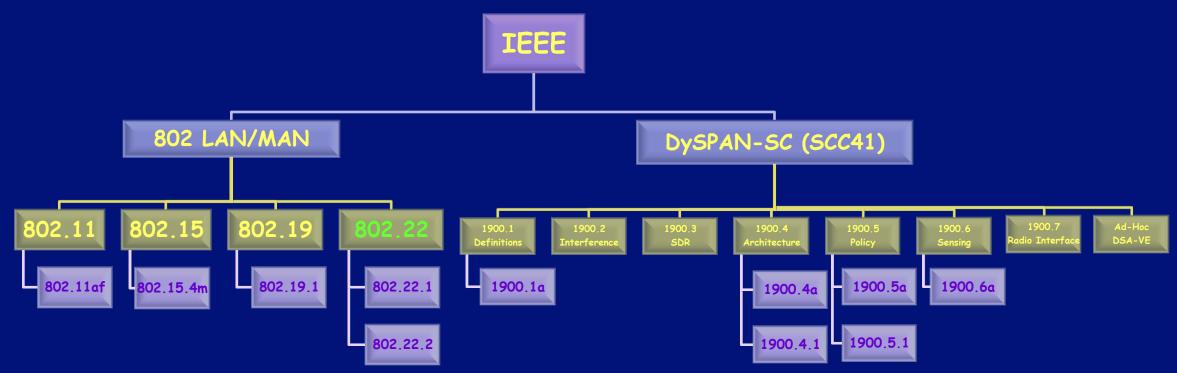
John Malyar, Gabor Bajko (IETF PAWS)

H. Harada, M. Sherman (IEEE DySPAN - SC)





IEEE Cognitive Radio Standards: The Big Picture







IEEE 802.22 Standard Overview

Established in 2004, approved on June 16, 2011

Standards for Wireless Regional Area Networks (WRAN)

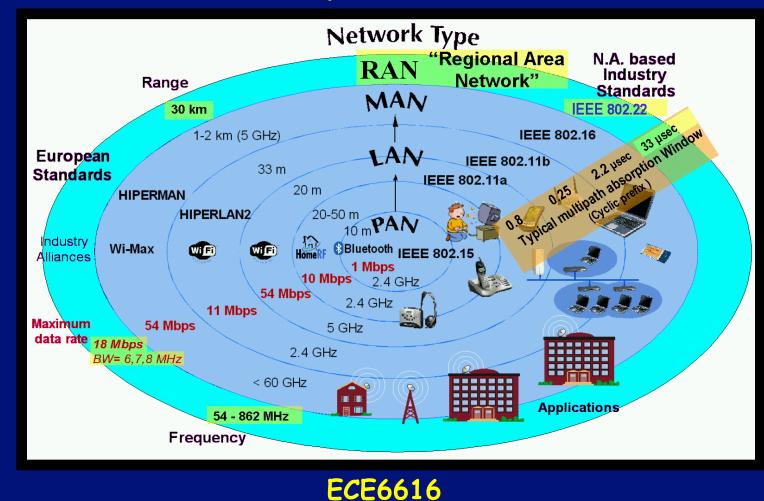
- Part 22: Cognitive Wireless RAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications: Policies and Procedures for Operation in the TV Bands
- Part 22.1: Standard to Enhance Harmful Interference Protection for Low-Power Licensed Devices Operating in TV Broadcast Bands
- Part 22.2: Recommended Practice for the Installation and Deployment of IEEE 802.22 Systems (D1.0)

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IEEE 802.22 Standard Overview

Where does RAN stand compared to other wireless networks ?





Purpose of IEEE 802.22

To facilitate competition in broadband access

To provide alternatives to wire-line broadband access

To extend the deploy-ability of these broadband systems into diverse geographic areas, including sparsely populated rural areas



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10cm

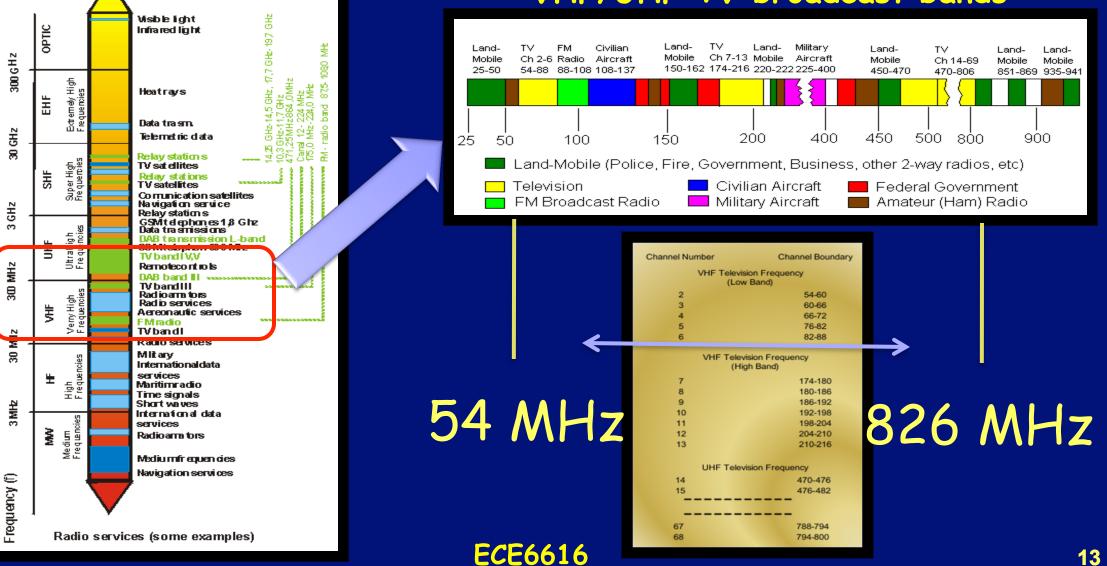
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100m

Wavelengh (λ.)

Spectrum Targeted



VHF/UHF TV broadcast bands



Properties of IEEE 802.22

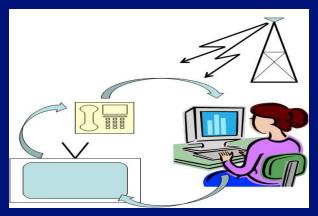
- Wireless Regional Area Network
- Low Population Density
- Point to Multi-point Communication
- TV channels as well as the guard bands of these channels are planned to be used
- Prevent harmful interference to incumbent licensed services by:
 - Geolocation database in a fixed location
 - Spectrum sensing (optional)

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IEEE 802.22 Applications

Triple play



Border Protection

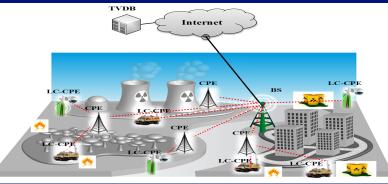


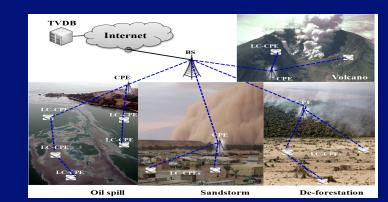


TVDB Internet

Emergency Broadband Infrastructure ECE6616

Critical Infrastructure Monitoring





Environmental monitoring



IEEE 802.22 Applications







IEEE 802.22 WG on Cognitive Radio Based Spectrum Sharing and Wireless Regional Area Networks



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IEEE 802.22 (Wi-FAR™) Summary

- **First IEEE Standard** for operation in TV Whitespaces
- First IEEE Standard that is specifically designed for rural and regional area broadband access aimed at removing the digital divide
- *First* IEEE Standard that has all the CR features
- IEEE 802.22 (Wi-FAR™) provides BWA to Regional, Rural and Remote Areas under LoS and NLOS conditions using CR Technology (without causing harmful interference to the incumbents).
- CR technology added to a simple and optimized OFDMA waveform
- Meets all the regulatory requirements such as protection of incumbents, access to the database, accurate geolocation, spectrum mask, etc.
- Large regional area footprint can allow placement of the BS closer to the area with cheaper Internet backhaul / backbone.

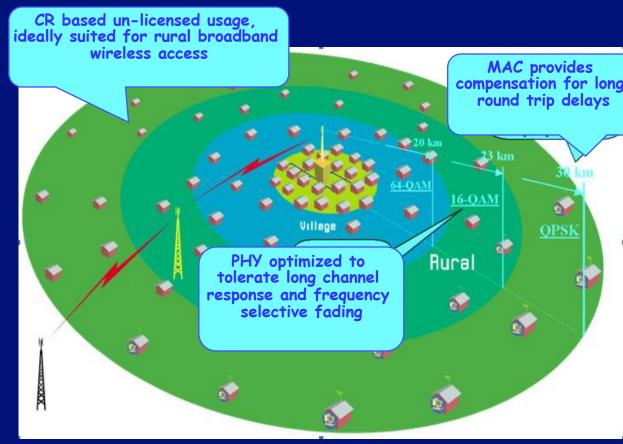
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IEEE 802.22 (Wi-FAR™) Summary

- Core Technology CR technology used to co-exist with and protect the PUs (incumbents).
- Representation Commercial industry, Broadcasters, DoD, Regulators, and Academia
- Membership 30 on an average (over 5 years)
- CONOPS VHF/UHF band operation allows long range propagation and cell radius of 10–30 km, exceptionally extensible to 100km in favorable conditions.
- PHY Optimized for long signal propagation distances and highly frequency selective fading channels (multipath with large excess delays).
- MAC Provides compensation for long round trip delays to provide service to up to 100 km.

Unique Features introduced for CR based operation: database access, spectrum sensing, spectrum management, incumbent protection, coexistence, geo-location and security



Portability – IEEE 802.22 (Wi-FAR(TM)) allows portability (nomadic use). In case the rules do change, IEEE 802.22 (Wi-FAR(TM)) PHY is designed to support mobility of up to 114 km/h (no hand-off is included in the current version).

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IEEE 802.22 (Wi-FAR™) Summary

- PHY Transport 802.22 uses OFDM as transport mechanism. OFDMA is used in the Upstream.
- Modulation QPSK, 16-QAM and 64-QAM supported
- Coding Convolutional Code is mandatory. Either Turbo, LDPC or Shortened Block Turbo Code can be used for advanced coding.
- Pilot Pattern Each OFDM/OFDMA symbol is divided into sub-channels of 28 subcarriers of which 4 are pilots. Pilot carriers are inserted once every 7 subcarriers. Pilots cycle through all 7 sub-carriers over 7 symbol duration. No frequency domain interpolation is required because of low Doppler spread in TV bands.

Net Spectral Efficiency – 0.624 bits/s/Hz – 3.12 bits/ s/Hz

TV channel bandwidth (MHz)	6	7	8	
Total number of subcarriers, N _{FFT}	2048			
Number of guard subcarriers, N _G (L, DC, R)	368 (184, 1, 183)			
Number of used subcarriers, N⊤ = N₀+ N₽	1680			
Number of data subcarriers, N _D	1440			
Number of pilot subcarriers, N _P	240			
Signal bandwidth (MHz)	5.6240625	6.5625	7.494375	

Data Rates in NLOS Conditions

PHY capaci	ty	Mbit/s	bit/(s*Hz)
Mod.	Rate	CP= 1/8	
QPSK	1/2	3.74	0.624
	2/3	4.99	0.832
	3/4	5.62	0.936
	5/6	6.24	1.04
16QAM	1/2	7.49	1.248
	2/3	9.98	1.664
	3/4	11.23	1.872
	5/6	12.48	2.08
64QAM	1/2	11.23	1.872
	2/3	14.98	2.496
	3/4	16.85	2.808
	5/6	18.72	3.12

PHY performance: SNR (dB)				
Mod.	Rate	SNR		
QPSK	1/2	4.3		
	2/3	6.1		
	3/4	7.1		
	5/6	8.1		
16QAM	1/2	10.2		
	2/3	12.4		
	3/4	13.5		
	5/6	14.8		
64QAM	1/2	15.6		
	2/3	18.3		
	3/4	19.7		
	5/6	20.9		

ote: includes phase noise: -80dBc/Hz at 1 kHz and 10 kH nd -105 dBc/Hz at 100 kHz





IEEE Dynamic Spectrum Access Networks Standards Committee (DySPAN-SC) http://grouper.ieee.org/groups/dyspan/

Established in 2005, formerly known as Standards Coordinating Committee 41 (SCC41) and IEEE P1900 Standards Committee

Purpose

"To develop supporting standards dealing with new technologies and techniques being developed for next generation radio and advanced spectrum management"

IEEE 1900 defines higher-layer standards for DSA networks in the layers higher than MAC and PHY



IEEE Dynamic Spectrum Access NWs -Standards Committee (DySPAN-SC) Organization

IEEE Dynamic Spectrum Access Networks (DySPAN) Standards Committee (DySPAN-SC)

- Originated as IEEE P1900 -> IEEE SCC 41 -> DySPAN-SC
- <u>http://grouper.ieee.org/groups/dyspan/</u>
- Home to the IEEE 1900 working groups
- "Owns" the P1900 series of standards...

Scope (from <u>http://grouper.ieee.org/groups/dyspan/)</u>

- Dynamic spectrum access radio systems and networks with the focus on improved use of spectrum
- New techniques and methods of dynamic spectrum access including the management of radio transmission interference, and
- Coordination of wireless technologies including network management and information sharing amongst networks deploying different wireless technologies

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IEEE Dynamic Spectrum Access Networks –Standards Committee (DySPAN–SC) Organization

IEEE 1900.1: Standard Definitions and Concepts for Spectrum Management and Advanced Radio System Technologies

IEEE 1900.2: Recommended Practice for Interference and Coexistence Analysis

IEEE 1900.4: Standard for Architectural building blocks enabling network-device distributed decision making for optimized radio resource usage in heterogeneous wireless access networks

IEEE 1900.5: Standard on Policy Language and Policy Architectures for Managing Cognitive Radio for Dynamic Spectrum Access Applications

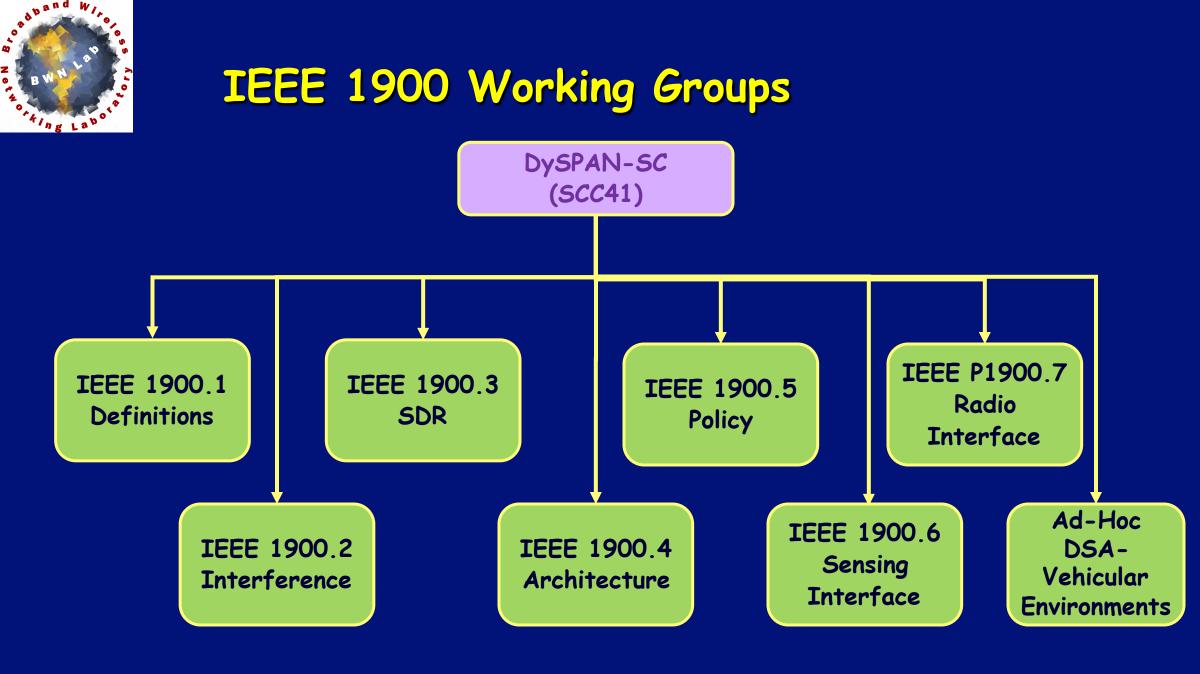
IEEE 1900.6: Standard on interfaces and data structures for exchanging spectrum sensing information for dynamic spectrum access systems

IEEE 1900.7: Standard on radio interface for white space dynamic spectrum access radio systems supporting fixed and mobile operation

DySPAN-SC P1900.1a P1900.1 P1900.2 P1900.4 P1900.4a P1900.4.1 P1900.5 P1900.5.1 P1900.5.2 P1900.6 P1900.6a P1900.7

Working Group (WG)





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IEEE 1900.1: Definitions and Concepts for Dynamic Spectrum Access IEEE Std 1900.1-2008

Need ?

- Different cognitive groups defined CR and other terms differently

Responsibilities

- Create glossary of important CR terms and concepts
- Give a coherent view of various efforts taking place in the field of CR



IEEE P802.19.1 Wireless Coexistence

T. Baykas, M. Kasslin, and S. Shellhammer, "System Design Document," IEEE 802.19-10/0055r3, March 2010.

Need ?

- Massive standardization activities and high market demand will result in heterogeneous TVBDs operating in possibly crowded TVWS
- TVBDs need to coexist in TVWS for most effectively use of TVWS

Purpose

- Specify radio technology independent methods for coexistence among dissimilar or independently operated TVBD networks and dissimilar TVBDs
- Provide standard coexistence methods among dissimilar or independently operated TVBD networks and dissimilar TVBDs
- Address coexistence for IEEE 802 networks and TVBDs

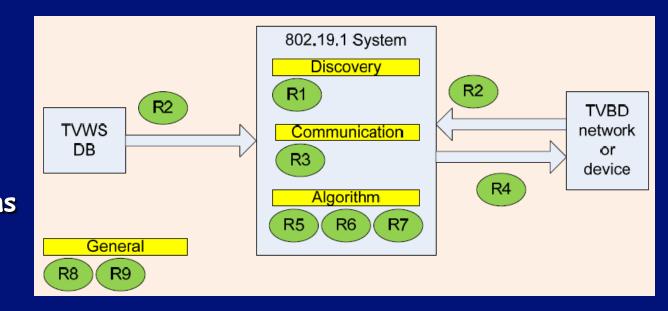
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IEEE P802.19.1 Wireless Coexistence

System Requirements

R1: enable discovery for TVBDs
R2: obtain and update information
R3: means to exchange information
R4: provide reconfiguration/controls
R5: analyze obtained information
R6: make TVWS coexistence decisions
R7: support different topologies
R8: support security mechanisms
R9: achieve coexistence







IEEE P802.19.1 Wireless Coexistence

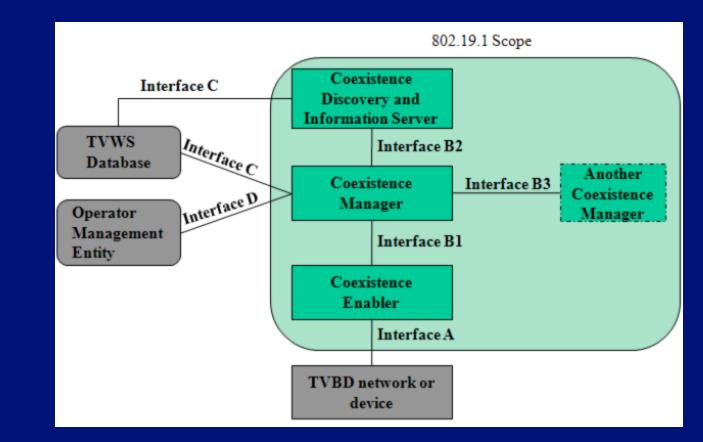
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Three logical entities

- Coexistence Manager (CM)
- Coexistence Enabler (CE)
- Coexistence Discovery and Information Server (CDIS)

Interact with 3 external elements

- TVWS database
- TVBD network or device
- Operator Management Entity (OME)



Six logical interfaces defined IFA'2015



IEEE 1900.2: Recommended Practice for the Analysis of Interference and Coexistence

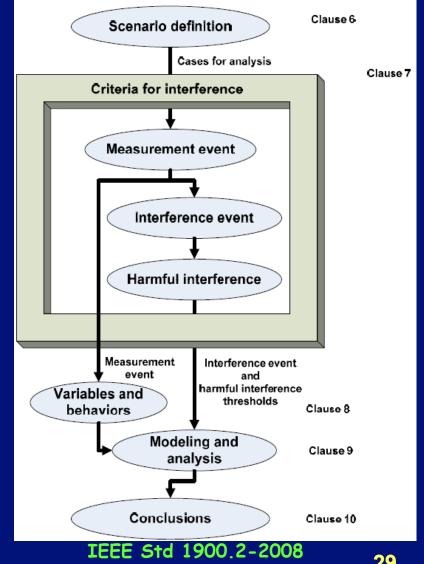
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Need?

- Accurate measurement of interference and impact of factors on aggregate interference
- Analyze potential for interference and coexistence between systems
- Support for decision making

Responsibilities

- Establish framework for measuring/analyzing interference between radio systems





IEEE 1900.3: Recommended Practice for Conformance Evaluation of Software Defined Radio Software Modules

Need ?

- SDR important component of future CR networks
- Create high confidence in deployed SDR devices

Responsibility

 Define a set of recommendations that help in assuring the coexistence and compliance of the software modules of CR devices before proceeding towards validation and certification of the final devices

IEEE 1900.3 WG has been disbanded



IEEE 1900.4:

Architectural Building Blocks Enabling Network-Device Distributed Decision Making for Optimized Radio Resource Usage in Heterogeneous Wireless Access Networks

Amendment 1 (1900.4a):

Architecture and Interfaces for Dynamic Spectrum Access Networks in White Space Frequency Bands

Need ?

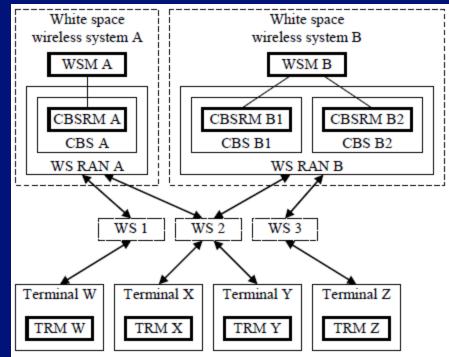
-Operation of mobile devices on multiple wireless networks

-Distributed decision making

Responsibilities

-Increase overall system utilization of reconfigurable terminals while increasing the perceived QoS

- Define overall system architecture
- Split functionality between terminals and networks
- Information exchange between coordinating entities
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WSM – White Space Manager RAN – Radio Access Network CBS – Cognitive Base Station CBSRM – CBS Reconfiguration Manager TRM – Terminal Reconfiguration Manager WS – White Space

IEEE Std 1900.4a-2011



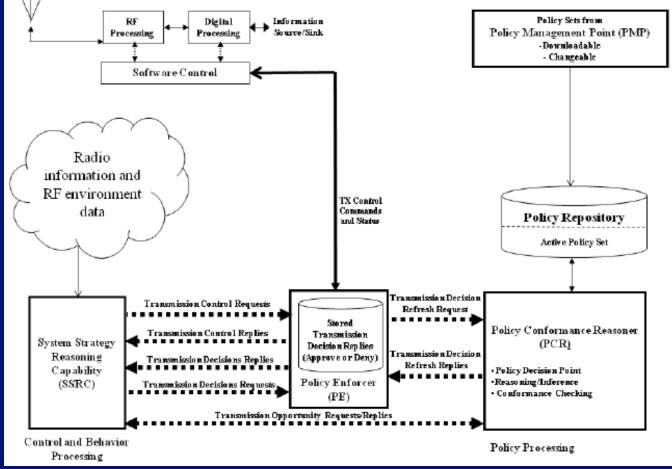
IEEE 1900.5: Policy Language Requirements and System Architectures for Dynamic Spectrum Access Systems

Need ?

Manage the functionality and behavior of dynamic spectrum access networks

Purpose

Defines a vendor-independent set of policy-based control architectures and corresponding policy language requirements







IEEE 1900.6:

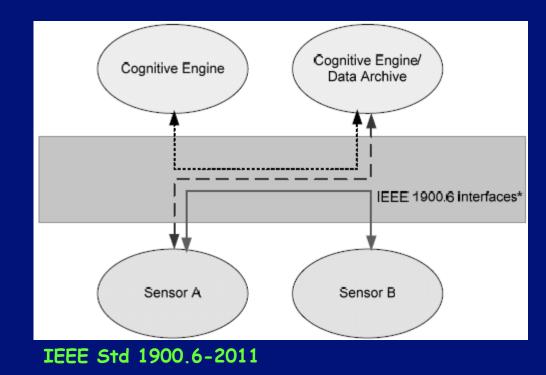
Spectrum Sensing Interfaces and Data Structures for Dynamic Spectrum Access and Other Advanced Radio Communication Systems

Need ?

- Efficient and portable dynamic spectrum access operation

Responsibilities

 Define the logical interface and data structures used for the information exchange between spectrum sensors and their clients in radio communication systems





IEEE P1900.7: Radio Interface for White Space Dynamic Spectrum Access Radio Systems Supporting Fixed and Mobile Operation

Draft standards under development

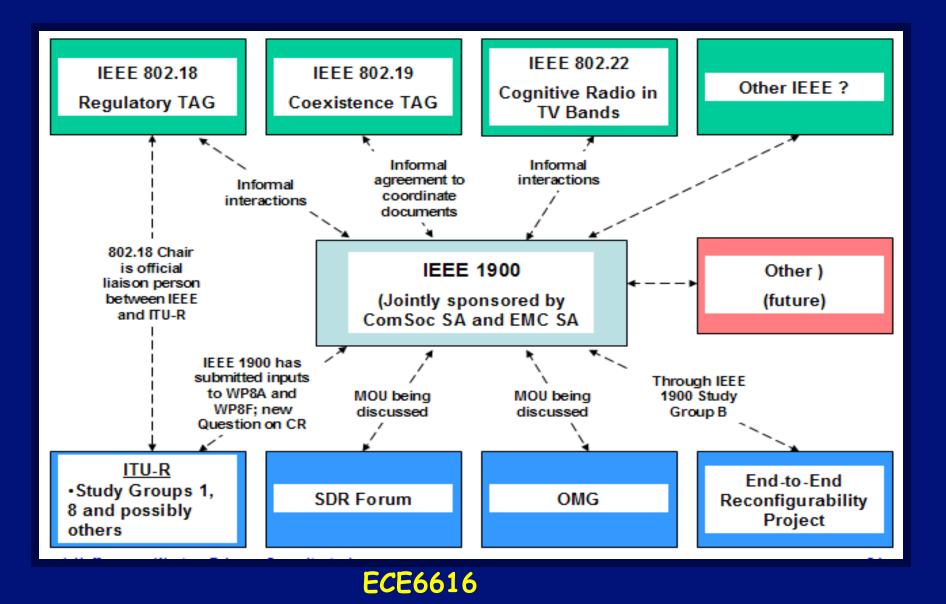
Scope

- Specify a radio interface including MAC sublayers and PHY layers of WS DSA radio systems supporting fixed and mobile operation in WS frequency bands, while avoiding causing harmful interference to incumbent users in these frequency bands
- Provide means to support P1900.4a for white space management and P1900.6 to obtain and exchange sensing related information (spectrum sensing and geolocation information)

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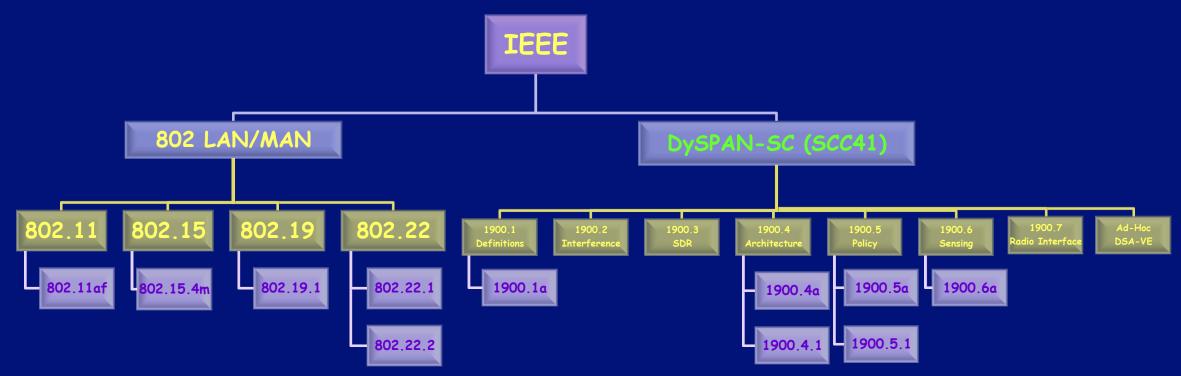


IEEE 1900 Committee – Possible Relations with External Organizations





IEEE Cognitive Radio Standards: The Big Picture









OTHER STANDARDS

IEEE 802.11af
IEEE 802.15m
ECMA 392
LTE-Advanced
IETF PAWS





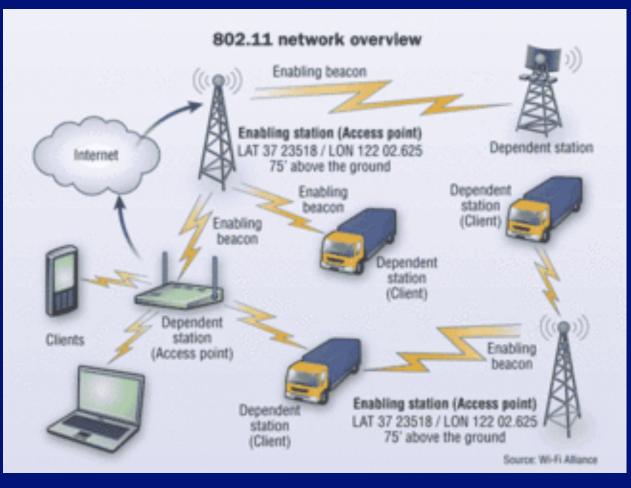
IEEE 802.11af: 802.11y History

IEEE 802.11y

- Amendment for 3650-3700 MHz operation in USA
- Based on contention based protocols (CBPs) adopted by FCC for use in sharing the TV bands
- Developed an enablement scheme adopted by 802.11af that requires devices to hear and decode an enabling beacon in order to transmit

Key features

- Database of existing devices
- "Light licensing"
- Automatic policy recognition





IEEE 802.11af

R. Kennedy and P. Ecclesine, "IEEE P802.11af Tutorial,"IEEE 802.11-10/0742r0, July 2010. https://mentor.ieee.org/802.11/dcn/10/11-10-0742-00-0000-p802-11af-tutorial.ppt

Regulatory Driven Amendment

Second Report & Order and Memorandum Opinion and Order (FCC 08-260 Nov. 2008)

- Second Memorandum Opinion and Order (FCC 10-174 Sept. 2010)
- "FCC frees up vacant TV airwaves for "Super WI-FI" technologies" (FCC NEWS Sept. 23, 2010)

Scope

Define modifications to both the 802.11 PHY and MAC to meet the legal requirements for channel access and coexistence in the TVWS

Purpose

Allow 802.11 wireless networks to be used in the TV white space



IEEE 802.11af

R. Kennedy and P. Ecclesine, "IEEE P802.11af Tutorial,"IEEE 802.11-10/0742r0, July 2010. https://mentor.ieee.org/802.11/dcn/10/11-10-0742-00-0000-p802-11af-tutorial.ppt

For much higher speed and wider coverage than current Wi-Fi
 Better propagation characteristics of the VHF/UHF bands

Support QoS guarantees and resource-intensive multimedia services more easily than the current Wi-Fi

Interference Mitigation

- Enablement is the key to effective interference mitigation
 - Devices in a white space network cannot transmit without being enabled
 - Beacons contain location information for enabling STA (station)
 - Incumbents interfered with can easily establish the source and react without regulatory intervention

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IEEE 802.11AF Wireless Local Area Networks

Based on the IEEE 802.11ac PHY layer, supports multiple concurrent downlink transmissions utilizing MU MIMO

More efficient spectrum use with smart antenna technology, enables

- More efficient spectrum use
- Higher system capacity
- Reduced latency by supporting up to four simultaneous user transmissions

Provides support for operation in unused TV channels in the VHF and UHF bands

Multiple operating modes in 6, 7 and 8 MHz channels

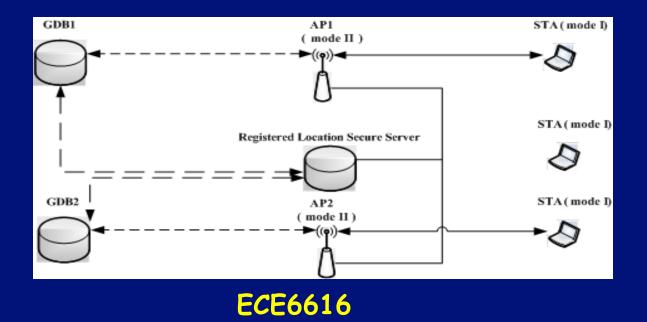
- W (1x channel width)
- 2W (2x channel width)
- W + W (non-contiguous)
- 2W + 2W (non-contiguous)
- 4W (4x channel width)

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IEEE 802.11AF Operation in the TV Bands

Access to spectrum via geolocation database(s)
 Master device (AP) connection to database; client (STA) dependent on master
 Allows for Registered Location Secure Server (RLSS) to cache the relevant portion of the database(s); allows for central control for campus TVWS network (not in all regulatory domains)







IEEE 802.15.4m

http://www.ieee802.org/15/pub/TG4m.html

Part 15.4: Low Rate Wireless Personal Area Networks (LR-WPANs) Amendment: TV White Space Between 54 MHz and 862 MHz Physical Layer

Purpose

Specify a PHY layer for 802.15.4 and to enhance and add functionality to the existing standard MAC meeting TVWS regulatory requirements

Enable operation in the available TVWS, supporting typical data rates in the 40 kbps to 2000 kbps range

Realize optimal and power efficient device command and control applications IFA'2015



IEEE 802.15.4m - Wireless Personal Area Networks

* Project Title

- IEEE Standard for Local and Metropolitan Area Networks Part 15.4: Low Rate Wireless Personal Area Networks (LR-WPANs) Amendment 6: TV White Space Between 54 MHz and 862 MHz Physical Layer

* Intro of Draft

- This amendment specifies alternate PHYs in addition to those of IEEE Std 802.15.4-2011.
- In addition to the new PHYs, the amendment also defines those MAC modifications needed to support their implementation.
- The alternate PHYs support principally outdoor, low-data-rate, wireless, TV White Space network (TVWS) applications under multiple regulatory domains. The TVWS PHYs are as follows:
 - Frequency shift keying (TVWS-FSK) PHY
 - Orthogonal frequency division multiplexing (TVWS-OFDM) PHY
 - – Narrow Band Orthogonal frequency division multiplexing (TVWS-NB-OFDM) PHY
- TVWS PHYs support multiple data rates in bands ranging from 54 MHz to 862 MH

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IETF is defining a Protocol to Access Spectrum Database in PAWS (Protocol to Access White Space) WG

- http://tools.ietf.org/wg/paws/

Use Cases and Requirements: RFC6593

- http://www.rfc-editor.org/rfc/pdfrfc/rfc6953.txt.pdf

Latest Version of the Draft Protocol

- <u>http://www.ietf.org/id/draft-ietf-paws-protocol-07.txt</u>



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IETF PAWS Protocol

Master Device
Establish initial connection with DB (authenticate)
Establish operational params and report location
Ask for and receive list of available channels. Device location is again reported in REQ.
Report list of attached client devices for validation.
Device reports back the channel that was chosen.



Future Cognitive Radio Standard Challenges

SU-SU coexistence

Creation of different CR standards will result in coexistence problems among CR networks

IEEE 802.19 deals with coexistence between unlicensed wireless networks such as 802.11, 802.15, 802.16, and 802.22

Spectrum sharing and fairness

E.g. distinct MAC strategies: 802.22 (TDM-based), 802.11af (CSMA-based), ECMA 392 (reservation and contention-based)



Future Cognitive Radio Standard Challenges

■ PU-SU cooperation

Legacy services may want to actively coexist with SUs by providing some means of cooperation

The legacy network operators may provide some fraction of their spectrum on a payment basis for DSA

Legacy network may want to provide a method of cooperation with the secondary network to ease the SUs' burden for PU protection (e.g., spectrum sensing)



New Spectrum Occupancy Sensing (SOS) Task Group







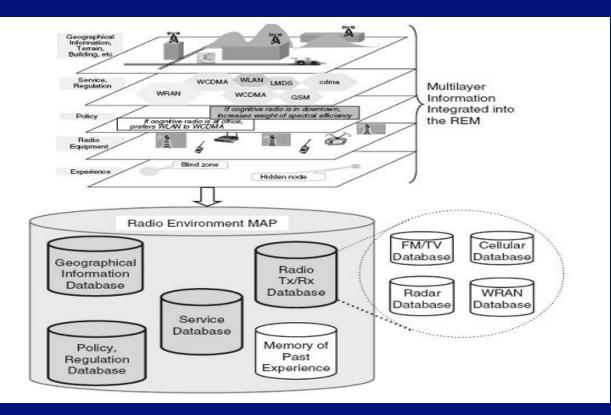
Spectrum Occupancy Sensing (SOS)

- Administrations all over the world are looking to improve the utilization of the spectrum. White Space Database access is one of the techniques to enable spectrum sharing and the use of unused frequency bands also known as the White Spaces.
- However, in many administrations, locations and characteristics of the radiators are not well documented.
- Individual and collaborative spectrum sensing is one of the tools to complement the information contained in databases to create an accurate spectrum occupancy survey.
- Such a Spectrum Occupancy Sensing (SOS) system will combine information from multiple sensors along with local terrain information to predict the spectrum occupancy patterns.
- This could lead to more efficient use of spectrum especially in places where the information about the primary users is difficult to find.
- The SOS Study Group will explore on-going research, challenges and aspects that require standardization.

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Spectrum Occupancy Sensing (SOS) System



SOS will create use cloud and crowd collaborative opportunistic sensing (e.g. cell phones acting as sensors)

SOS will combine sensing information with digital terrain data

It will provide this information to the databases for more realistic spectrum occupancy



Spectrum Sharing in 3.5 GHz Band





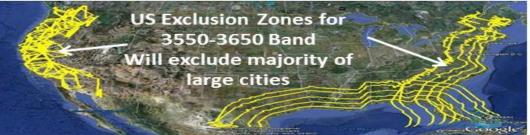


Radar, Commercial Comms Spectrum Sharing Using IEEE 802.22.1 Advanced Beaconing

Objective To Create NATIONAWIDE availability of the 3550-3650 MHz Band using IEEE 802.22.1 advanced beaconing approach

Current Plan: The current plan is the use of exclusion zones to protect U.S. Navy coastal operations and other Department of Defense test and training areas. This means that major part of the US population will not be able to use these bands.

Alternatives: However, there may be some other approaches which will make 100 MHz of spectrum available nation-wide, and especially in the coastal areas where significant US population resides.

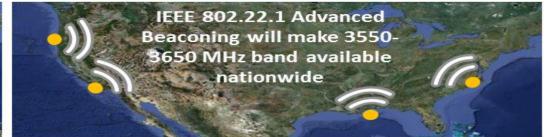


Background

3550 – 3650 MHz Band: One of the portions of the spectrum identified to achieve the goal of freeing up 500MHz of spectrum, is the 3550-3650 MHz where maritime radars have been deployed.



Use of Advanced Beaconing Approach: Advanced beaconing approaches, such as the one developed in the IEEE Standard 802.22.1 for spectrum sharing between the primary signals and incumbent signals is suitable for the 3550-3650 band.



Deployment Strategy

Regulators have realized that beaconing is a viable option for spectrum sharing. The IEEE 802.22.1-2010[™] standard has been completed and is currently being revised for protection of radars and satellite earth stations

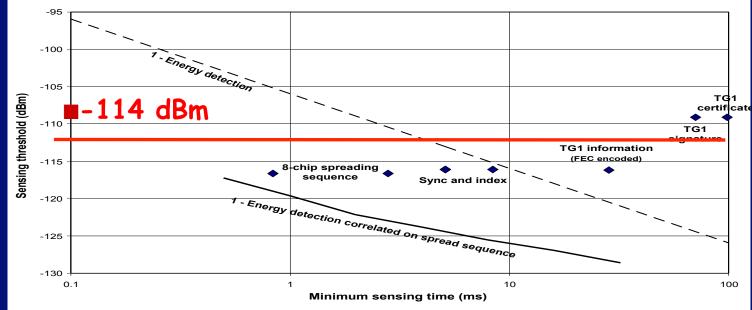




IEEE 802.22.1 Advanced Wireless Beaconing – Applies to Other Bands to Enable Spectrum Sharing with Radars

- IEEE 802.22.1 defines a beacon signal for primary user protection
- Security features are provided for beacon authentication
- Such beacons can be used for spectrum sharing with primary users when fast response times are desired or hidden node detection is an issue
- IEEE 802.22.1 Revision Project will explore radar and comms spectrum sharing in 3.5 GHz Band





ECE6616 Wireless Microphone Beacon Sensing Results





- Spectrum sharing can benefit developed and developing countries
- Cognitive Radio technology and use of White Spaces will provide ubiquitous wireless
 connectivity and support many other M2M applications
- Spectrum sharing can create tomorrow's spectrum super-highways
- It supports licensed, license-exempt and hierarchical access business models
- Technologies and Standards for Cognitive Radios, and Database enabled Spectrum Access exist

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Regulations to support spectrum sharing need to be developed